

# Multidimensional signal-noise neural network model

F.Güneş  
H.Torpi  
F.Gürgen

*Indexing terms:* Neural network model, Microwave transistors, Signal parameters, Noise parameters

**Abstract:** Signal and noise behaviours of microwave transistors are modelled through the neural network approach for the whole operating ranges including frequency, bias and configuration types. Here, the device is modelled by a black box whose small-signal and noise parameters are evaluated through a neural network based upon the fitting of both of these parameters for multiple bias and configuration. The concurrent modelling procedure does not require the solving of device physics equations repeatedly during optimisation, and by this type of modelling the signal (S) and noise (N) parameters can be predicted not only at a single operation frequency around the chosen bias condition for a configuration, but at the same time for the whole operation frequency band for the same operating conditions, with good agreement compared to the measurements.

## 1 Description of the work

In this work the signal-noise neural network in [1] is extended to include bias condition ( $V_{DS}$ ,  $I_{DS}$ ) and configuration type (CT) so that performance parameters of the device can be generalised not only at a single operation frequency around the trained bias condition, which may be named single frequency generalisation (SFG), but at the same time in the whole operation frequency band around an untrained bias condition, which may be named as whole frequency band generalisation (WFBG). The same performance measures as [1] are also utilised for this model. The literature for the transistor modelling is given extensively in [1]. Applications of the neural networks in the microwave circuits reported in literature include automatic impedance matching [2], microstrip circuit design [3], microwave circuit analysis and optimisation [4] and, most recently, modelling of monolithic microwave integrated circuit (MMIC) passive elements [5] and simulation and optimisation of interconnects in high-speed VLSI circuits. The multiple bias-configuration signal-noise

© IEE, 1998

IEE Proceedings online no. 19981712

Paper first received 28th February and in revised form 3rd October 1997

F. Güneş and H. Torpi are with the Yıldız Technical University, Electrics and Electronics Faculty, Electronics and Communication Engineering Department, 80750 Beşiktaş-Istanbul, Turkey

F. Gürgen is with Boğaziçi University, Computer Engineering Department, 80815, Bebek-Istanbul, Turkey

neural network is described in the second Section in detail, and worked examples and conclusion are given in the last Section.

## 2 Multiple bias and configuration signal-noise neural network

### 2.1 Structure of the network

A neural network is a simplified mathematical model of a biological neural network. It consists of a collection of interconnected neurons. Let:

$$x = [x_1 \ x_2 \ \dots \ x_n]^t \quad (1)$$

$$y = [y_1 \ y_2 \ \dots \ y_p]^t \quad (2)$$

respectively, be input and output vectors of  $n$  and  $p$  dimensions, in the signal-noise neural network,  $x$  is a 4-dimensional vector containing frequency  $f_k$ , bias condition ( $V_{DS}$ ,  $I_{DS}$ ) and configuration type (CT),  $y$  is a 12-dimensional vector which gives S- and N-parameters. The relationship between  $x$  and  $y$  is multidimensional and nonlinear. To model such a multidimensional nonlinear relationship, a three-layer neural network is employed, which has  $n$  processing nodes (PN) in the input layer,  $p$  PNs in the output layer, and  $q$  PNs in the hidden layer, as shown in Fig. 1. Let:

$$a^{(k)} = [a_1^{(k)} \ a_2^{(k)} \ \dots \ a_n^{(k)}]^t \quad (3)$$

$$b^{(k)} = [b_1^{(k)} \ b_2^{(k)} \ \dots \ b_p^{(k)}]^t \quad (4)$$

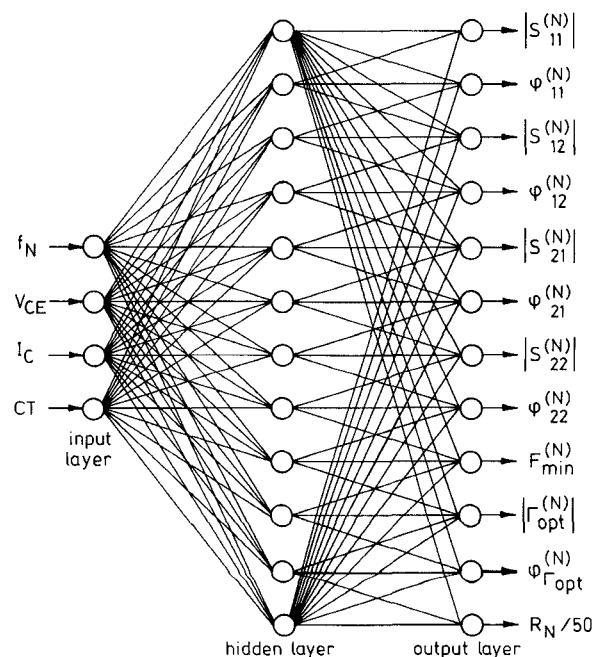


Fig. 1 Multibias and configuration signal-noise neural network

be vectors representing the  $k$ th sample of the input and output, respectively,  $k = 1, 2, \dots, N_s$  where  $N_s$  is the total number of the data samples. The weighting matrix between the hidden and input layer is  $W$ , and between the hidden and output layer is  $V$ , which can be expressed as:

$$W = [W_1 \ W_2 \ \dots \ W_h \ \dots \ W_q] \quad (5)$$

$$V = [V_1 \ V_2 \ \dots \ V_h \ \dots \ V_p] \quad (6)$$

where  $W_h$  vector is the weighting vector between the  $h$ th hidden node and the input layer:

$$W_h = [W_{1h} \ W_{2h} \ \dots \ W_{ih} \ \dots \ W_{nh}]^t \quad (7)$$

$V_j$  is the weighting vector between the  $j$ th output node and the hidden layer:

$$V_j = [V_{1j} \ V_{2j} \ \dots \ V_{qj}]^t \quad (8)$$

The signal resulting from the hidden layer to the  $j$ th output node can be expressed in the form of:

$$\Phi_j(x, W, V_j, \theta) = \sum_{h=1}^q V_{hj} g_h(x, W_h, \theta_h) \quad (9)$$

and the net output of the  $i$ th output node is obtained as follows:

$$y_j(x, W, V_j, \theta, T_j, \Theta_j) = T_j f_j(\Phi_j + \Theta_j) \quad (10)$$

where  $g_h$  and  $f_j$  are the basis functions for the  $h$ th hidden node and the  $j$ th output node, respectively, which are the sigmoid type of nonlinear functions in our application, and  $g_h(x, W_h, \theta_h)$  can be expressed in the following form:

$$g_h(x, W_h, \theta_h) = \frac{1}{1 + \exp\left(-\left(\sum_{i=1}^n x_i W_{ih}\right) - \theta_h\right)} \quad (11)$$

In eqns. 14 and 15,  $\Theta_j$  and  $\theta_h$  are the thresholds of the  $j$ th output and  $h$ th hidden nodes, respectively.

## 2.2 Determination of the network matrix $P$

If parameters of the network architecture are denoted by the matrix  $P$ , the network parameter matrix  $P$  will have  $n \times q + p \times q + q + p$  elements which consist of weighting factors between the input and hidden layers and the hidden and output layers, the local memories of the hidden and output nodes, respectively. The training process can be defined as computation of the network parameter matrix  $P$  so that the error function which is:

$$E(P) = \sum_{k=1}^{N_s} E^{(k)} = \sum_{k=1}^{N_s} \left[ \frac{1}{2} \sum_{j=1}^p (y_j^{(k)} - b_j^{(k)})^2 \right] \quad (12)$$

is minimised, where  $y_j^{(k)}$  and  $b_j^{(k)}$  are, respectively, the predicted and measured values of the  $j$ th output node at the training frequency  $f_k$ . This type of training process is also called backpropagation, which is available in the two types of algorithms: ‘on-line’ training where neural network parameters are updated after each sample presentation, and ‘off-line’ training where neural network parameters are updated after all samples are presented. In this work, we chose the ‘on-line’ training approach, since it is more efficient in most cases. The update equations for  $V_{hj}$ ,  $W_{ih}$ ,  $\theta_h$  can be given as follows:

$$V_{hj}^{(k+1)} = V_{hj}^{(k)} - \eta \frac{\partial E^{(k)}}{\partial V_{hj}} + \alpha (V_{hj}^{(k)} - V_{hj}^{(k-1)}) \quad (13)$$

$$W_{ih}^{(k+1)} = W_{ih}^{(k)} - \eta \frac{\partial E^{(k)}}{\partial W_{ih}} + \alpha (W_{ih}^{(k)} - W_{ih}^{(k-1)}) \quad (14)$$

$$\theta_h^{(k+1)} = \theta_h^{(k)} - \eta \frac{\partial E^{(k)}}{\partial \theta_h} + \alpha (\theta_h^{(k)} - \theta_h^{(k-1)}) \quad (15)$$

and similar equations can be written for  $\Theta_j$  and  $T_j$ . In eqns. 23 and 24  $\eta$  and  $\alpha$  are positive valued between 0 and 1, learning rate and momentum, respectively. In this work, a simple program is used to search their optimum values depending on the type of the worked transistor where the strategy is to determine optimum values for  $\alpha$  and  $\eta$ , to ensure rapid convergence to the satisfied performance measures of the model. Thus we start with any set for the network parameters and then repeatedly change each parameter by an amount proportional to the related sensitivity terms such as

$$\frac{\partial E^{(k)}}{\partial V_{hj}}, \frac{\partial E^{(k)}}{\partial W_{ih}}, \frac{\partial E^{(k)}}{\partial \theta_h}$$

according to updated equations and assume that the training is completed when the error fails to decrease any further. The network parameters are then the final set of values of  $V_{hj}$ ,  $W_{ih}$ ,  $\theta_h$ ,  $T_j$  and  $\Theta_j$ . By defining the sensitivities of the network with respect to  $V_{hj}$ ,  $W_{ih}$ ,  $\theta_h$  can be given as follows, after letting  $Z_h$  and  $F_j$  be defined as:

$$Z_h = g_h(x, W_h, \theta_h) = \frac{1}{1 + \exp\left(-\left(\sum_{j=1}^n x_j W_{jh}\right) - \theta_h\right)} \quad (16)$$

$$F_j = f_j(\Phi_j + \Theta_j) = \frac{1}{1 + \exp(-\Phi_j - \Theta_j)} \quad (17)$$

$$\begin{aligned} \frac{\partial E^{(k)}}{\partial V_{hj}} &= \frac{\partial}{\partial V_{hj}} \left[ \frac{1}{2} \sum_{j=1}^p (y_j - b_j)^2 \right] \\ \frac{\partial E^{(k)}}{\partial V_{hj}} &= (y_j - b_j) T_j \frac{\partial f_j}{\partial \Phi_j} \frac{\partial \Phi_j}{\partial V_{hj}} \\ \frac{\partial E^{(k)}}{\partial V_{hj}} &= (y_j - b_j) T_j F_j (1 - F_j) Z_h = \delta_j^{(3)} Z_h \end{aligned} \quad (18)$$

$$\begin{aligned} \frac{\partial E^{(k)}}{\partial W_{ih}} &= \sum_{j=1}^p \frac{\partial E^{(k)}}{\partial y_j} \frac{dy_j}{dF_j} \frac{dF_j}{d\Phi_j} \frac{\partial \Phi_j}{\partial Z_h} \frac{\partial Z_h}{\partial W_{ih}} \\ \frac{\partial E^{(k)}}{\partial W_{ih}} &= \left[ \sum_{j=1}^p (y_j - b_j) T_j F_j (1 - F_j) V_{hj} \right] Z_h (1 - Z_h) a_i^{(k)} \\ \frac{\partial E^{(k)}}{\partial W_{ih}} &= \sum_{j=1}^{N_0} \delta_j^{(3)} V_{hj} Z_h (1 - Z_h) a_i^{(k)} = \delta_h^{(2)} a_i^{(k)} \end{aligned} \quad (19)$$

$$\begin{aligned} \frac{\partial E^{(k)}}{\partial \theta_h} &= \sum_{j=1}^p \frac{\partial E^{(k)}}{\partial y_j} \frac{dy_j}{dF_j} \frac{dF_j}{d\Phi_j} \frac{\partial \Phi_j}{\partial Z_h} \frac{\partial Z_h}{\partial \theta_h} \\ \frac{\partial E^{(k)}}{\partial \theta_h} &= \sum_{j=1}^p (y_j - b_j) T_j F_j (1 - F_j) V_{hj} Z_h (1 - Z_h) \\ \frac{\partial E^{(k)}}{\partial \theta_h} &= \sum_{j=1}^{N_0} \delta_j^{(3)} V_{hj} Z_h (1 - Z_h) = \delta_h^{(2)} \end{aligned} \quad (20)$$

$$\begin{aligned}\frac{\partial E^{(k)}}{\partial T_j} &= \frac{\partial E^{(k)}}{\partial y_j^{(k)}} \frac{\partial y_j^{(k)}}{\partial T_j} = (y_j - b_j) F_j \\ \frac{\partial E^{(k)}}{\partial T_j} &= \delta_j^{(3)} T_j^{-1} (1 - F_j)^{-1} \quad (21)\end{aligned}$$

$$\begin{aligned}\frac{\partial E^{(k)}}{\partial \Theta_j} &= \frac{\partial E^{(k)}}{\partial y_j} \frac{\partial y_j}{\partial F_j} \frac{\partial F_j}{\partial \Theta_j} = (y_j - b_j) T_j F_j (1 - F_j) \\ \frac{\partial E^{(k)}}{\partial \Theta_j} &= \delta_j^{(3)} \quad (22)\end{aligned}$$

where  $\delta_h^{(2)}$  and  $\delta_j^{(3)}$  represent local gradients at individual nodes in the second and third layers, respectively, and using (9.3) and (9.5) they can be expressed as:

$$\delta_h^{(2)} = \sum_{j=1}^N \delta_j^{(3)} V_{hj} Z_h (1 - Z_h) \quad (23)$$

$$\delta_j^{(3)} = (y_j - b_j) T_j F_j (1 - F_j) \quad (24)$$

### 3 Worked examples and conclusion

In the foregoing Sections a neural-based technique is described for modelling of signal and noise behaviour of microwave transistors over their operation frequency band, biasing ranges and configuration types. In the work, this multiple bias-configuration neural network model has been applied to many transistors for which the manufacturer's characterisation data is used as the training data. The results show that the predicted parameters are generally in good agreement with the desired parameters.

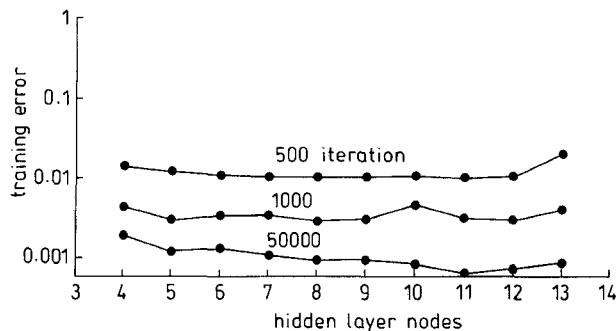


Fig. 2 Variation of neural network with training error  
Iteration number is taken as parameter

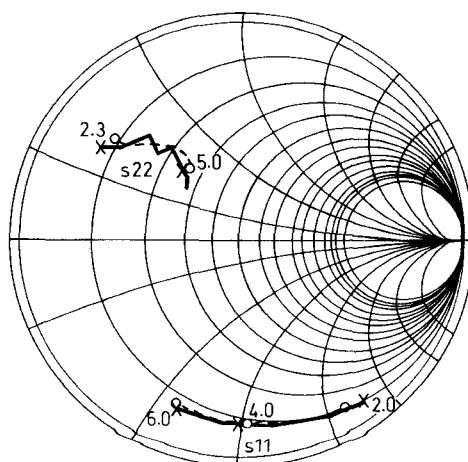


Fig. 5 S-parameter-frequency variations for  $V_{CE} = 8V$ ,  $I_C = 20mA$  at the common collector configuration of the transistor NE219 (WFBG)  
 $E_{11} = 0.019730$ ,  $E_{21} = 0.035988$ ,  $E_{12} = 0.049394$ ,  $E_{22} = 0.071714$ ,  $E_r = 0.044207$

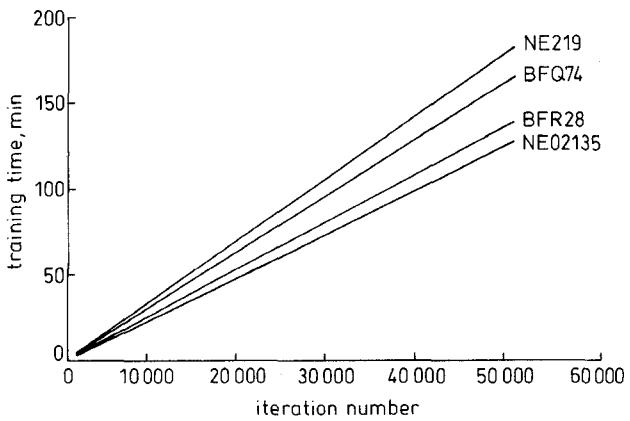


Fig. 3 Variation of training time with respect to iteration number

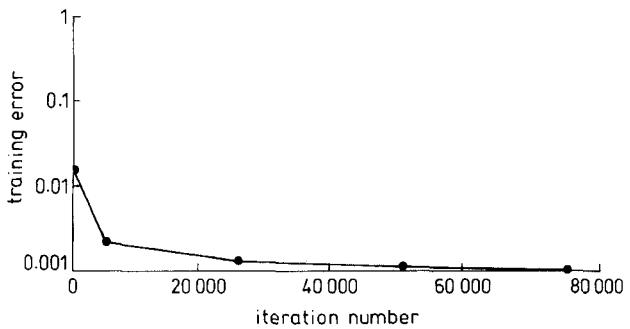
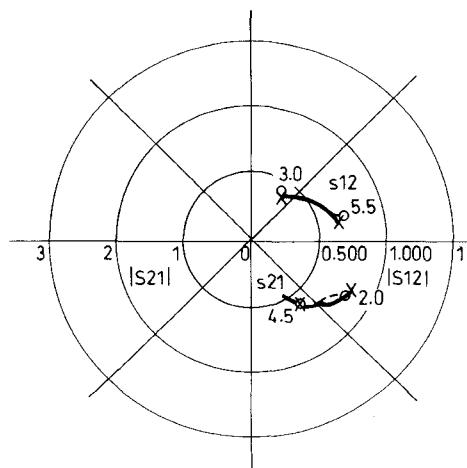


Fig. 4 Variation of training error with respect to iteration number for NE02135

In the following subsections, two worked examples are presented (Tables 1 and 2) with the performance measures of the neural network models, where amount of the data used for the training is optimised for each bias condition against the error and iteration number. A worked example is also given in [1]. Fig. 2 gives variations of the hidden nodes of the neural network against the training error, the iteration number taken as the parameter, in our model, which is taken to be equal to the number of output nodes, which is almost optimum. The graphs in Fig. 2 also show how rapid the training error converges, since the error settles down in the range of 0.01 within 500 iterations. Figs. 3 and 4 give variation of the training time with respect to the iteration number for the various types of transistor,



**Table 1: Multiple bias and configuration signal neural network model for the transistor NE219 common collector and common emitter configuration**

Operation frequency bandwidth: 2–6 GHz			
Operation bias conditions			
Configuration	Bias voltage $V_{CE}$ , V	Bias currents $I_C$ , mA	
CC	8	10	20
		SFG	WFBG
		$E_t = 0.050105$	$E_t = 0.044207$
		$E_{11} = 0.027857$	$E_{11} = 0.019730$
		$E_{21} = 0.037930$	$E_{21} = 0.035988$
		$E_{12} = 0.037051$	$E_{12} = 0.049394$
		$E_{22} = 0.097582$	$E_{22} = 0.071714$
		Fig. 7	Fig. 5
		not given	not given
		$E_t = 0.73243$	$E_t = 0.065140$
CE	10	$E_{11} = 0.045472$	$E_{11} = 0.039773$
		$E_{21} = 0.034876$	$E_{21} = 0.044730$
		$E_{12} = 0.061781$	$E_{12} = 0.060726$
		$E_{22} = 0.150743$	$E_{22} = 0.115331$
		not given	not given
			Fig. 8

**Table 2: Multiple bias and signal-noise neural network model for the transistor NE02135 at the common emitter configuration**

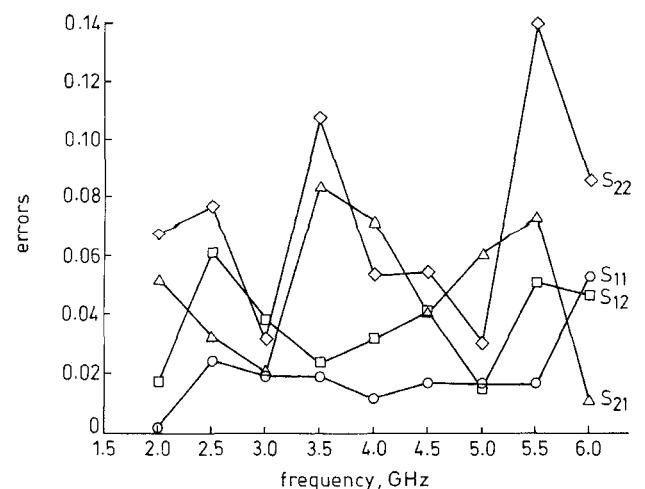
Operation frequency bandwidth: 0.1–4 GHz				
Operation bias conditions				
Bias voltage $V_{CE}$ , V	Bias currents $I_C$ , mA			
10.0	5.0	10.0	20.0	30.0
	SFG	WFBG	SFG	SFG
	$E_t = 0.041287$	$E_t = 0.079736$	$E_t = 0.037003$	$E_t = 0.044565$
	$E_{11} = 0.033850$	$E_{11} = 0.034377$	$E_{11} = 0.023597$	$E_{11} = 0.018405$
	$E_{21} = 0.044067$	$E_{21} = 0.056279$	$E_{21} = 0.043421$	$E_{21} = 0.049997$
	$E_{12} = 0.043720$	$E_{12} = 0.097377$	$E_{12} = 0.046626$	$E_{12} = 0.067227$
	$E_{22} = 0.043512$	$E_{22} = 0.130912$	$E_{22} = 0.034370$	$E_{22} = 0.042631$
	$F_1 = 0.030823$	$F_1 = \text{NMDA}$	$F_1 = 0.023949$	$F_1 = 0.01918$
	$F_2 = 0.102794$	$F_2 = \text{NMDA}$	$F_2 = 0.052824$	$F_2 = 0.07685$
	$F_3 = 0.123793$	$F_3 = \text{NMDA}$	$F_3 = 0.096364$	$F_3 = 0.0659$
	$F_t = 0.085804$	$F_t = \text{NMDA}$	$F_t = 0.057712$	$F_t = 0.0539$
	Fig. 9	Fig. 11	not given	Fig. 12

Extrapolated values of noise parameter for  $V_{CE} = 10\text{V}$ ,  $I_C = 30\text{mA}$  are used. NMDA = no measured data available

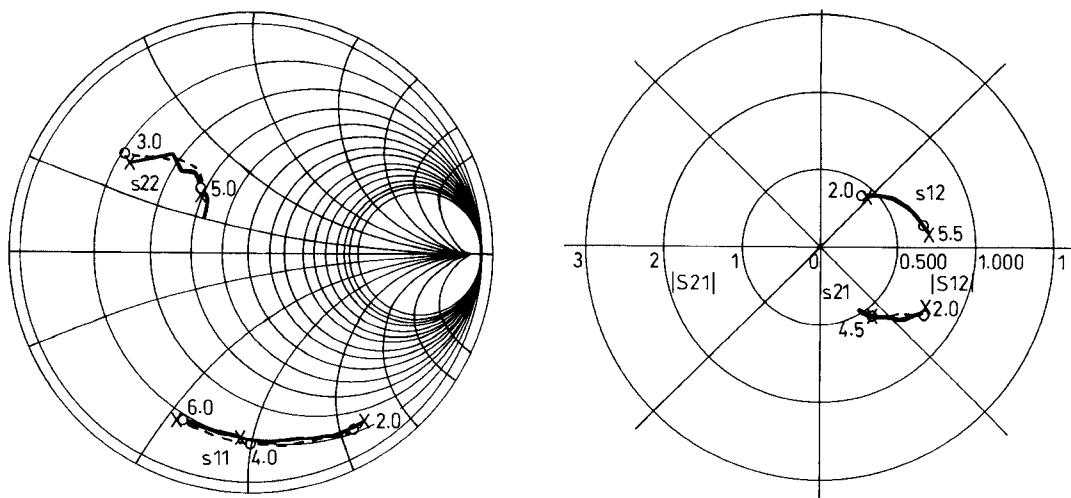
where it should be noted that, once the neural network has been trained, it responds at once to the desired input. The generalisation process can be considered in two categories.

### 3.1 Single frequency generalisation (SFG)

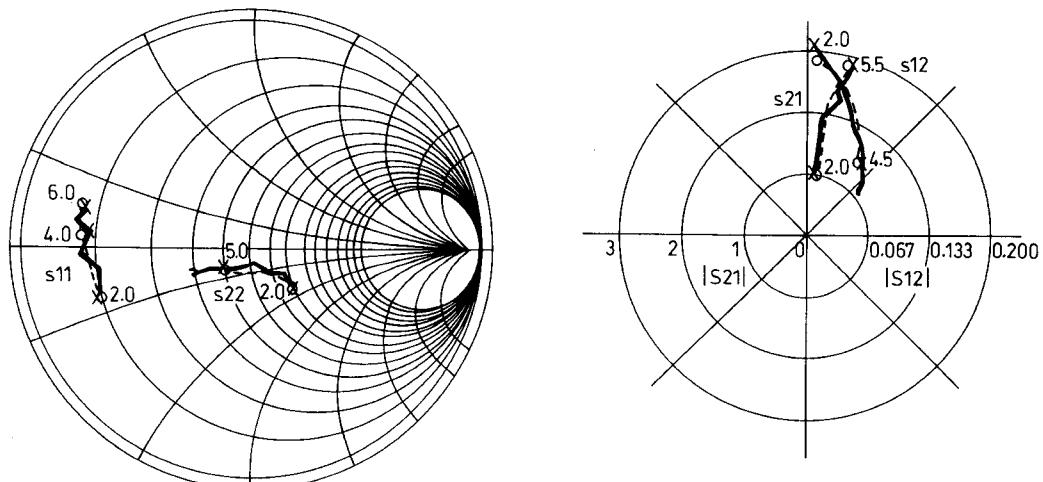
This can be defined as the performance parameter prediction at a single operation frequency of the trained bias condition. In the work [1], the interpolation and extrapolation capabilities of the network are demonstrated in the error-frequency planes over a fairly large operation bandwidth at a single bias condition. In this work, the SFG procedure is applied to a lot of bias conditions for the different configuration types, and the same conclusion as [1] is reached, which is that the network has a high capability to interpolate between the data points used for learning.



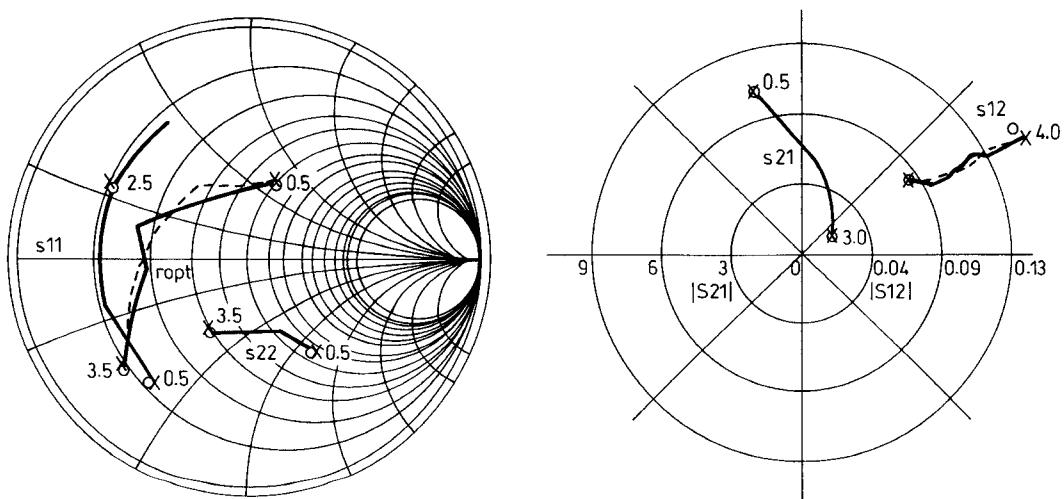
**Fig. 6** Error-frequency distributions for  $V_{CE} = 8\text{V}$ ,  $I_C = 20\text{mA}$  at the common collector configuration of the transistor NE219 (WFBG)



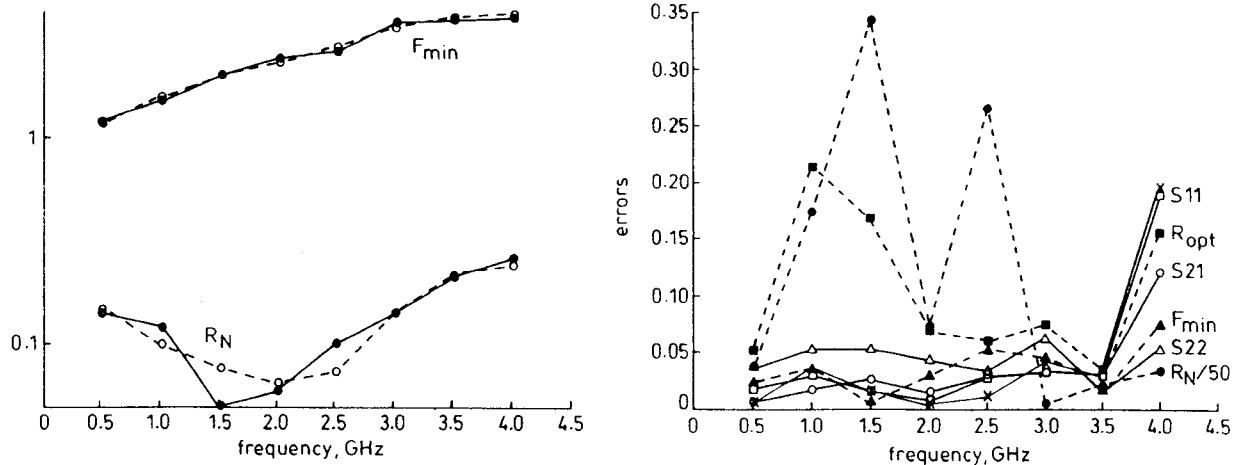
**Fig. 7**  $S$ -parameter-frequency variations for  $V_{CE} = 8.0\text{ V}$ ,  $I_C = 10\text{ mA}$  at the common collector configuration of transistor NE219  
 $E_{11} = 0.027857$ ,  $E_{21} = 0.037930$ ,  $E_{12} = 0.037051$ ,  $E_{22} = 0.097582$ ,  $E_t = 0.050105$



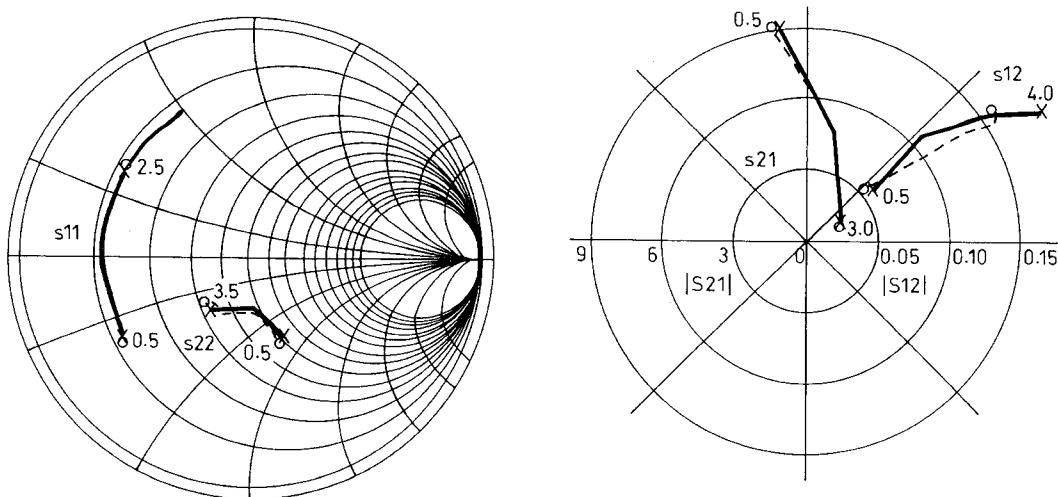
**Fig. 8**  $S$ -parameter-frequency variations for  $V_{CE} = 8\text{ V}$ ,  $I_C = 30\text{ mA}$  at common emitter configuration of transistor NE219  
 $E_{11} = 0.028880$ ,  $E_{21} = 0.032119$ ,  $E_{12} = 0.042287$ ,  $E_{22} = 0.129495$ ,  $E_t = 0.058195$



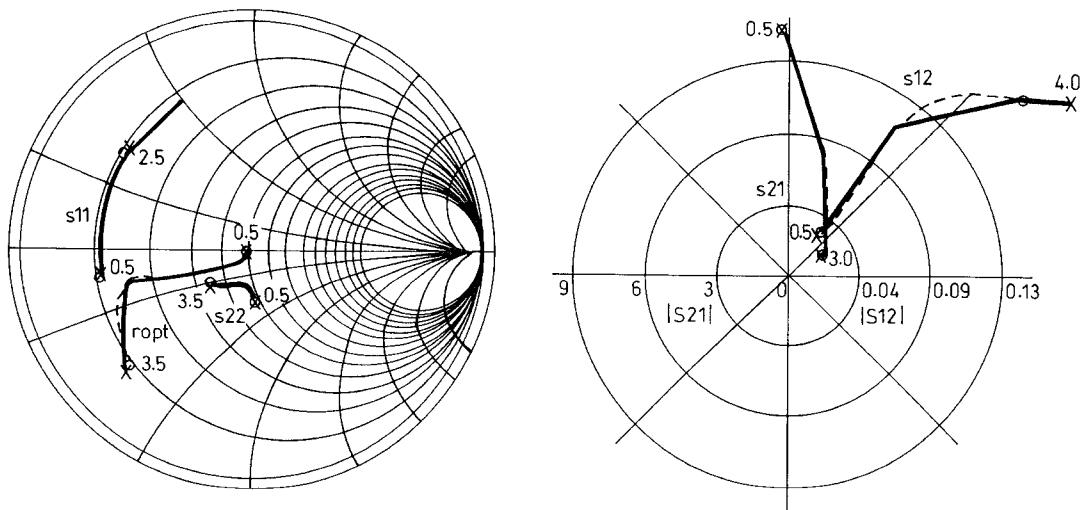
**Fig. 9**  $S$ - and  $N$ -parameter-frequency variation for  $V_{CE} = 10\text{ V}$ ,  $I_C = 5\text{ mA}$  at the common emitter configuration of transistor NE02135 (SFG)



**Fig. 10** Error-frequency distributions for  $V_{CE} = 10V$ ,  $I_C = 5mA$  at the common emitter configuration of transistor NE02135 (SFG)



**Fig. 11**  $S$ -parameter-frequency variations for  $V_{CE} = 10V$ ,  $I_C = 10mA$  at the common emitter configuration of transistor NE02135 (WFBG)



**Fig. 12**  $S$ -parameter-frequency variations for  $V_{CE} = 10V$ ,  $I_C = 30mA$  at the common emitter configuration of transistor NE02135 (SFG)

### 3.2 Whole frequency band generalisation (WFBG)

This can be defined as prediction of the unknown multidimensional performance parameter function for the whole operation frequency band, which has eight signal dimensions and four noise dimensions given by eqn. 2,

at an untrained bias condition. Fig. 6 gives the frequency distribution of error for the whole frequency band generalisation (WFBG) of the scattering parameters at the bias condition  $V_{CE} = 8V$ ,  $I_C = 10mA$  for the common collector configuration given in Fig. 5. From the examination of the WFBG processes, one can con-

clude that the network can predict the multidimensional performance parameter functions of the transistor between the bias conditions.

In the Figs. 7–12 some predicted and target variations of the signal and noise parameters for the transistors NE219 and NE02135 at the multiple bias-configuration are given between the starting and stopping frequencies supplied by the manufacturers, for the purpose of comparison. In all the Figures, the curves with dashed lines give the predicted variations, while the solid curves are the target variations.

In this work, we have presented a nontraditional approach to modelling of microwave transistors, so that a multiple bias/configuration signal-noise neural network model is obtained, which is shown to be capable of giving results in a good agreement with the measured values. By exploiting the flexibility and generality of the neural network model, we have demonstrated its use for the device characterisation for its signal and noise performance over its whole operation ranges including frequency, bias conditions of the possible configuration types. At the same time, results of

our work have demonstrated the feasibility and efficiency of using neural networks in the practical interactive design and optimisation methods for the physics-based device modelling.

#### 4 References

- 1 GÜNES, F., GÜRGEN, F., and TORPI, H.: 'Signal-noise neural network model for active microwave devices', *IEE Proc., Circuit Devices Syst.*, 1996, **143**, (1), pp. 1–8
- 2 MANKUAN, V., and SHEILA, P.: 'Automatic impedance matching with a neural network', *IEEE Microwave Guided Wave Lett.*, 1993, **3**, (10), pp. 353–354
- 3 HORNG, T., WANG, C., and ALEXOPOULOS, N.G.: 'Microstrip circuit design using neural networks', *MTT-S Int. Microwave Symp. Dig.*, 1993, pp. 413–416
- 4 ZAABAB, A.H., ZHANG, Q.J., and NAKHLA, M.: 'A neural network modeling approach to circuit optimisation and statistical design', *IEEE Trans. Microw. Theory Tech.*, 1995, **43**, (6), pp. 1349–1358
- 5 CREECH, G.L., PAUL, J.B., LESNIAK, D.C., JENKINS, J.T., and CALCETERA, C.M.: 'Artificial neural networks for fast and accurate EM-CAD of microwave circuits', *IEEE Trans. Microw. Theory Tech.*, 1997, **45**, (5), pp. 794–802
- 6 VELUSWAMI, A., NAKHLA, S.M., and ZHANG, Q.J.: 'The applications of neural networks to EM-based simulation and optimisation of interconnects in high-speed VLSI circuits', *IEEE Trans. Microw. Theory Tech.*, 1997, **45**, (1), pp. 712–723