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Public Review for Cluster based Multipath Routing Protocol for Wireless Sensor Networks

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Networks of wireless sensors offer tremendous opportunity for detecting critical aspects in our environment from bridge safety to fire detection. However, these sensors have been prone to short network lifetimes due to the power consumption required to forward traffic to and from sink nodes for downstream nodes. The key idea of this paper is to reduce the load on each sensor by offloading as many of the power intensive tasks as possible to the base stations or sinks in the network. In doing so, the authors design a cluster-based routing strategy with three different algorithms for neighbor discovery and topology construction, cluster head intimation, and cluster head selection/formation. As a result, the authors have outperformed state of the art schemes in terms of not only power consumption and network lifetime, but delivery ratio and end-to-end latency.

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Cluster based Multipath Routing Protocol for Wireless Sensor Networks

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ABSTRACT

Wireless Sensor Network (WSN) consists of low power sensor nodes. Energy is the main constraint associated with the sensor nodes. In this paper, we propose a cluster based multipath routing protocol, which uses the clustering and multipath techniques to reduce energy consumption and increase the reliability. The basic idea is to reduce the load of the sensor node by giving more responsibility to the base station (sink). We have implemented and compared the protocol with existing protocols and found that it is more energy-efficient and reliable.

Keywords

wireless sensor networks, multipath, clustering, energy efficient, routing protocol

1. INTRODUCTION

WSN consists of low power and low cost embedded devices called sensor nodes. Sensor nodes sense the environment and generate the data for temperature, pressure, motion, fire, humidity and so on. The sensor node sends the data to the base station through the intermediate sensor nodes in multihop environment. In the sensor network with energy constraint environment, the network often requires energy-efficient routing protocol to send the data. The data should reach the base station through a reliable path. The reliable path significantly reduces the retransmission of data, which can decrease congestion and energy consumption. So, the sensor node requires appropriate energy-efficient and reliable path for data transmission.

In this paper, Cluster base Multipath Routing Protocol (CMRP) is proposed, which addresses the mentioned requirements. In WSN, the clustering technique reduces the data traffic in the network. It helps to minimize the energy consumption in the network. Multipath routing increases the reliability of the network, through many available paths. If the path between the source node and the sink fails, then the source node can choose the path from the available paths. The clustering and multipath technique increases the reliability and energy-efficiency of the network.

The rest of the paper is organized as follows: related work is discussed in Section 2. The working principle and algorithm of the proposed model is discussed in Section 3. In Section 4 simulation parameter, results and analysis are discussed.

2. RELATED WORK

Bagheri et al.[2] have proposed the protocol, where nodes are enabled by the GPS system. The cluster head section is based on the remaining energy of the node. The multipath routes are constructed through the cluster heads. A cluster head selects another path, if it fails. Quynh et al.[9] have proposed an event-based multipath clustering protocol. When an event is detected, all nodes near the event will become active. One of the nodes close to the event having maximum residual energy elects itself as the cluster head. The rest of the active nodes join the cluster head and form the cluster. The cluster head chooses the relay node and backup relay node towards the sink to form the multipath. Mazaheri et al.[7] have proposed a QoS base multipath hierarchical routing. Among the nodes in the range r elect the cluster head based on the remaining energy and the distance from the sink. For multipath construction, cluster head chooses the set of cluster heads within the range R ($R > r$) based on the residual energy, remaining buffer size, signal to noise ratio and distance to the sink. Jin et al.[4] have proposed a Passive Cluster based Multipath Protocol (PPCMP). In this protocol, the node near to the event becomes the candidate cluster head and waits for a certain time. If it does not receive any cluster head advertisement, it becomes the cluster head and broadcasts the advertisement in its range (R). The node resides within $\frac{R}{2}$ range joins the cluster and rest of the nodes up to the range R become the candidate cluster head and follow the same procedure for cluster formation. Branch aware flooding method [8] is used to construct the mutipath between the sink and the source node. For the next time if any source detected the event, the same available set of clusters are used, but new set of multipath is required for data transmission. In the existing protocols [2, 9, 7, 4] the control packet overhead is more, which leads to the higher-energy consumption. It directly affects the lifetime of the network. This protocols give more emphasis on reliability through the mutipath but neglect some QoS parameters such as end-to-end delay, control overhead and network lifetime.

Zaman et al.[12] have proposed a protocol where the network is divided among the number of levels. One cluster head is elected in each level. The cluster head collects the data from the nodes of that level and transmits it to the lower-level cluster head using directional flooding technique [5]. Almalkawi et al.[1] have proposed a cross layer based clustered multipath routing. The nodes are heterogeneous and randomly deployed. The sink initiated the cluster formation by broadcasting the control packet and based on re-

ceived signal strength, the powerful nodes become the cluster heads. The cluster heads are classified in different levels. They send the data through the upper level cluster head. A diagnosis based clustering and multipath routing are proposed in [1]. For cluster formation, base station randomly chooses a specific number of candidate cluster heads on certain probability. The candidate cluster head checks the faulty status of each other. Once the faulty node is detected, it is removed from the network. Among the neighbor candidate cluster head having the highest residual energy becomes the cluster head and the non-cluster head nodes join the closest cluster head and form the cluster. For multipath construction, a cluster head chooses the cluster head within the $2R$ range having the lowest distance from the sink. The protocols [12, 5, 1] do not maintain the proper path. They only have the information regarding neighbor nodes. They have to choose a node among the neighbor list without knowing their current residual energy or connectivity with the other nodes. It decreases the reliability of the networks.

Wang et al.[10] have proposed a hierarchical multipath routing protocol. Each node has a hop count value which indicates the distance to the sink. Based on the hop count the node selects the parent and alternate parent node to make the multipath. The network looks like a tree with the sink as the root node. Using hierarchical structure, it reduces some amount of data traffic and energy consumption. Yang et al.[11] have proposed an event based routing protocol. The node closest to the event becomes the cluster head and the node which satisfies certain threshold joins the cluster head. The ant colony algorithm was used to create multipath between the cluster head and the sink. The cluster head dynamically chooses the routing path between the available path to send the aggregated data to the sink. The protocols [7, 1, 6, 10, 11] have not used any load balancing technique among the nodes. It leads to mismanagement of the network and reduces the throughput and the network lifetime.

3. PROPOSED ROUTING PROTOCOL

The proposed protocol adequately addresses the flaws presented in the existing schemes as mentioned in the Section 2. In randomly deployed sensor network, the sink node gathers neighbor information from the sensor nodes and creates a neighbor adjacency matrix. The sink node identifies the cluster head and selects the appropriate path. The sink sends the paths to the elected cluster heads. Each cluster head builds their cluster and sends the aggregated data to the sink. If routing path fails between cluster head and the sink, then sink selects another path for data transmission. The sink monitors each node's residual energy and based on that it balances the load among the sensor nodes.

3.1 Assumption

The WSN is the combination of large sensor nodes and the communication link between them within the radio range. The network can be presented as the graph $G(V, E)$; here $V = \{v_1, v_2, \dots, v_n\}$ is the set of sensor nodes in the network. Each sensor node has the maximum communication range of radius R and E is the edge (link) between the node set (v_i, v_j) , where $v_i, v_j \in V$. It is the bidirectional link between node v_i and v_j . If the distance between two nodes is $d(v_i, v_j) \leq R$, then the communication link will be consid-

ered as direct (single hop) otherwise indirect (multihop). We consider a wireless sensor network that consists of n number of sensor nodes and a sink. Sensor nodes are randomly deployed and after deployment nodes are static. The base station possesses unlimited memory, computation and battery power. Sensor nodes have limited energy. All nodes are homogeneous. The computation and communication capabilities are similar. At the time of deployment, each sensor node's energy level is equivalent. Communication range is the same and predefined. Nodes can estimate the signal strength of the received signal. 40% of the initial energy is the threshold value of the node.

3.2 Cluster based multipath routing protocol (CMRP)

CMRP is a proactive routing protocol, in which all the paths are computed prior to its requirement. This approach is suitable for the static network. CMRP is a cluster-based routing protocol which requires route from cluster head to the base station. The base station is responsible for computing the routing path and monitoring the energy level of each sensor node in the network. CMRP is based on the assumptions mentioned in Section 3.1. It consists of four phases: neighbor discovery & topology construction, cluster head selection & cluster formation, data dissemination, re-clustering & rerouting. In this section, we discuss each phase of CMRP in detail.

3.2.1 Neighbor discovery and topology construction

The base station initializes neighbor discovery phase after the deployment of sensor nodes. Here each sensor node will broadcast *Nbr_DET* packet once. At the end of neighbor discovery phase, each node has the information about their neighbors.

After neighbor discovery phase, topology construction phase starts. In this phase, each node sends their neighbor information to the base station. For this, each node uses multicasting technique instead of flooding. The initiator node starts sending the neighbor information to base station through relay nodes as shown in Figure 1. The sender node chooses the relay node from $NBR(x)$ and forwards the neighbor information to the base station as described in the Algorithm 1. Any sensor node will forward the *Nbr_INFO*

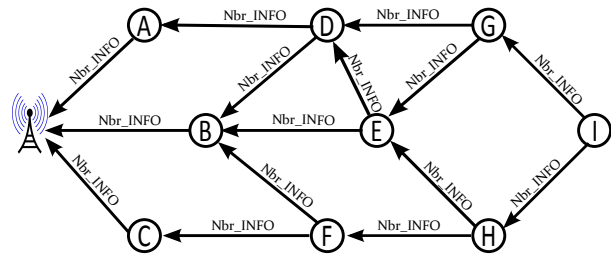


Figure 1: Nodes send the *Nbr_INFO* packet to the base station.

packet only once for any source node to avoid the looping in the network. For doing this, each node maintains a received neighbor information list. Therefore, it reduces the traffic in the network and conserves the energy. The base station creates the neighbor adjacency matrix when it receives the

Algorithm 1 Neighbor Discovery and Topology Construction**Data Structure for any sensor node x :** $Nbr(x)$: neighbor set of node x , initialized to ϕ . $NbrDET_{Sent_x}$: set to **true** when the sensor node x sends $NbrDET$ packet, initialized to **false**. $ReceivedNbrINFO(x)$: set of nodes by which node x received the Nbr_INFO packet, initialized to ϕ .

node x receives following packet from node y :
Nbr_DET :< Nbr_DET, Id_y >
if ($y \notin Nbr(x)$) **then**
 $Nbr(x) \leftarrow Nbr(x) \cup \{y\}$;
 if ($NbrDET_{Sent_x} == false$) **then**
 $NbrDET_{Sent_x} \leftarrow true$;
 $l_{rb}(Nbr_DET, Id_x)$; \triangleright Broadcast Nbr_DET packet
 else
 Drop the packet;
 end if
else
 Drop the packet;
end if
Nbr_INFO :< $Nbr_INFO, Nbr(y), Id_y, Relay_Id$ >
if ($Relay_Id == Id_x$) **then**
 if ($y \notin ReceivedNbrINFO(x)$) **then**
 $ReceivedNbrINFO(x) \leftarrow ReceivedNbrINFO(x) \cup \{y\}$;
 if ($Idx == Id_{BS}$) **then**
 Update the neighbor adjacency matrix using $Nbr(y)$;
 else
 $l_{rf}(Nbr_INFO, Nbr(y), Id_y, Relay_Id)$; \triangleright Forward the
 Nbr_INFO packet to the selected relay node
 end if
 else
 Drop the packet;
 end if
else
 Drop the packet;
end if

Nbr_INFO from the sensor nodes. Neighbor adjacency matrix is shown in Table 1. It is a $(n + 1) \times (n + 1)$ matrix, where n is the number of nodes in the network and a base station. The neighbor adjacency matrix shows the network topology and connectivity of the nodes. Based on neighbor adjacency matrix, the base station selects the cluster heads and routing paths from each cluster head to the base station.

Table 1: Neighbor Adjacency Matrix

	BS	A	B	C	D	E	F	G	H	I
BS	0	1	1	1	0	0	0	0	0	0
A	1	0	1	0	1	0	0	0	0	0
B	1	1	0	1	1	1	1	0	0	0
C	1	0	1	0	0	0	1	0	0	0
D	0	1	1	0	0	1	0	1	0	0
E	0	0	1	0	1	0	1	1	1	0
F	0	0	1	1	0	1	0	0	1	0
G	0	0	0	0	1	1	0	0	0	1
H	0	0	0	0	0	1	1	0	0	0
I	0	0	0	0	0	0	0	1	1	0

3.2.2 Cluster head selection and cluster formation

After neighbor discovery and topology construction, formation of the cluster is started. Initially all nodes energy level are the same. After the formation of the neighbor adjacency matrix, the base station will compute and monitor the residual energy of each node. The base station chooses a certain number of cluster heads in the network using the following conditions:

1. Any two cluster heads should not be neighbor to each other.
2. The residual energy E_r of each cluster head should be greater than the threshold value.
3. Each cluster head should have at least k number of nodes as neighbor.

Let $CH = \{\text{Set of all cluster heads}\}$ and $x \in CH$
 $NBR(x) = \{\text{Set of one hop neighbors of } x\}$
if ($y \in NBR(x)$) **then**
 $y \notin CH$ \triangleright This is the first condition for any node to be a cluster head
end if

Let $E_{threshold} = \{\text{Threshold energy of any node}\}$ and
 $E_r(x) = \{\text{Residual energy of node } x\}$
if ($E_r(x) \geq E_{threshold}$) **then**
 $x \in CH$ \triangleright This is the second condition for any node to be a cluster head
end if

Let n is the alive nodes and
 m is the optimal number of cluster heads in the network
Then, $l = \frac{n-m}{m}$ \triangleright l is the number of nodes in a cluster
So that, $NBR(x) \geq l$ \triangleright This is the third condition for any node to be a cluster head

Selection of cluster head depends on two independent factors; one is the residual energy (E_r) and another is the degree of the node (D), i.e., the number of neighbor nodes.

Let Pr is the probability of any node x to become a cluster head then;

$$Pr(x) \propto E_r(x) \times D(x) \quad (1)$$

After selecting the cluster head, the base station determines the path between the cluster head and the base station. The base station refers to the neighbor adjacency matrix and ensures the following selection criteria for routing path:

1. The residual energy of the sensor node in the path should be greater than the threshold value.
2. The total energy consumption of the routing path should be minimum.

Let $P = \{\text{Set of nodes in the path}\}$
and $E_r(x) = \{\text{Residual energy of any node } x \in P\}$
if ($x \in P$) **then**
 $E_r(x) \geq E_{threshold}$ \triangleright This is the first condition for routing path selection
end if

Let $|P| = \{\text{number of nodes in the path}\}$ and
Let $P_1, P_2, P_3, \dots, P_j$ are the available paths from the cluster head to the base station.
So, $P = \min_{1 \leq i \leq j} (|P_i|)$ \triangleright This is the second condition for routing path selection

To notify the sensor nodes which have been chosen as a cluster head. The base station unicasts the intimation packet (i.e. CH_INT) to the cluster heads using the selected path as illustrated in the Algorithm 2. The CH_INT packet follows the path and reaches the cluster head. The sensor nodes involved in the path make a reverse link towards the sink to relay the data from the cluster head. When the cluster head receives the CH_INT packet, it sends back an acknowledgment (ACK) packet to the base station. The ACK packet follows the same reverse path from where CH_INT packet came. The base station selects another path, if it does not receive the acknowledgment from the cluster head within a predefined time duration. The ACK packet generated by the cluster head is forwarded towards the base station through the selected path.

Algorithm 2 Cluster Head intimation**Data Structure for any sensor node x :** $PATH(x)$: set of sensor nodes involved in the path between the node x and the base station. $RTable(x)$: the routing table maintained by each relay node having two columns *cluster head Id* and *next_hop*, initialized to ϕ .

```

node  $x$  receives following packet from node  $y$ :

CH_INT :<  $CH\_INT, Id_y, PATH(ch), Id_{ch}$  >
if ( $Id_{ch} == Id_x$ ) then
     $lrf(ACK, Id_x, next\_hop)$ ; ▷ Forward the ACK packet to the
    base station
else
    if ( $x \in PATH(ch)$  &&  $Id_{ch} \notin RTable(x)$ ) then
        Update the  $RTable(x)$  by adding cluster head Id as  $Id_{ch}$ 
        and next_hop as  $Id_y$ ;
         $lrb(CH\_INT, Id_x, PATH(ch), Id_{ch})$ ; ▷ Broadcast
         $CH\_INT$  packet
    else
        Drop the packet;
    end if
end if

ACK :<  $ACK, Id_y, next\_hop$  >
if ( $next\_hop == Id_x$ ) then
    if ( $Id_x == Id_{BS}$ ) then
         $Time\_out \leftarrow false$ ;
    else
        Look up the  $RTable(x)$  and find the next_hop of cluster
        head  $y$ ;
         $lrf(ACK, Id_y, next\_hop)$ ; ▷ Forward the ACK packet
        towards the base station
    end if
else
        Drop the packet;
end if

```

Afterwards, cluster head broadcasts the advertisement packet to form a cluster as illustrated in the Algorithm 3. Nodes receive more than one advertisement will choose the cluster head based on higher RSSI (Received Signal Strength Indication). After selecting the cluster head, nodes send the joining request as CH_JOIN packet. Cluster head receives the CH_JOIN packets from the interested nodes. After receiving all the joining requests, the cluster head sends the information of the cluster members to the base station. For reducing the congestion, the cluster head generates the time-slot schedule for cluster members based on TDMA [3] and sends to the cluster members. The TDMA time-slot is used for the collision-free communication between the cluster member and the cluster head.

3.2.3 Data Transmission

The cluster member transmits the generated data to the cluster head based on the given time slot and then changes the operational mode to sleep mode. The sensor node wakes up in the next time slot to transmit the data. In this way, the protocol serves the sensor node to conserve the energy. The cluster head aggregates the data and sends to the base station through the selected path. All intermediate relay nodes refer to the routing table for the next node to forward the data. When the data reaches the base station, an acknowledgment packet is sent back to the cluster head. If the cluster head does not receive the acknowledgment from the base station, it retransmits the data. The base station monitors the residual energy of each node in the network as it has the entire information of network topology. If base station finds residual energy of any node below the threshold value, it selects another available path for that cluster head.

Algorithm 3 Cluster Head selection and Cluster formation**Data Structure for any sensor node x :** $RSSI(x)$: set of received signal strength of the sender nodes, initialized to ϕ . $CHSelected_x$: set to **true** when the sensor node x selected the cluster head, initialized to **false**. $ChMbr(x)$: set of cluster members of any *cluster head x* , initialized to ϕ .

```

node  $x$  receives following packet from node  $y$ : where
 $x \notin CH$  and  $y \in CH$ 

CH_ADV :<  $CH\_ADV, Id_y$  >;
 $RSSI(x) \leftarrow RSSI(x) \cup RSSI_y$ ;
After receiving all  $CH\_ADV$ , node  $x$  chooses the node with highest
received signal strength as its cluster head.
 $SelectedCH_x \leftarrow true$ ;
 $lrf(CH\_JOIN, Id_x, Id_{ch})$ ; ▷ Send the join request to the cluster
head

node  $x$  receives following packet from node  $y$ : where
 $x \in CH$  and  $y \notin CH$ 

CH_JOIN :<  $CH\_JOIN, Id_y, Id_{ch}$  >
if ( $Id_x == Id_{ch}$ ) then
     $ChMbr(x) \leftarrow ChMbr(x) \cup y$ ;
    After receiving all  $CH\_JOIN$ , node  $x$  sends the  $ChMbr(x)$  to
    the base station.
    Broadcast the time-slot schedule to the cluster members.
else
    Drop the packet;
end if

```

3.2.4 Re-clustering and rerouting

The base station initiated the process of rerouting and re-clustering. To balance the load among the sensor nodes, the base station monitors the residual energy of each sensor node in the network. If any node falls below the threshold value, it initiates re-clustering or rerouting based upon the role of the node. If the node is the relay node of any path, the base station selects another available path to exclude that node. If the node is the cluster head, the base station selects another cluster head and corresponding path. This method increases the lifetime of the networks. The nodes having residual energy below the threshold, neither take part in routing nor become a cluster head, but only work as the cluster members.

4. SIMULATION RESULTS

4.1 Simulation Parameters

The simulation of the proposed protocol and existing protocols are done using Castalia 3.2 simulator. It is a discrete-event simulator based on OMNeT++. The intensive set of simulation is performed based on the parameter illustrated in Table 2.

4.2 Results and Analysis

Through the simulation, the proposed protocol performance has been analyzed and compared with the existing protocols such as FDCM [11] and PPCMP[4]. The performances of the protocols were compared based on the metrics such as control packet overhead, energy consumption, packet delivery ratio, end-to-end latency and network lifetime.

4.2.1 Average Control Packet Overhead

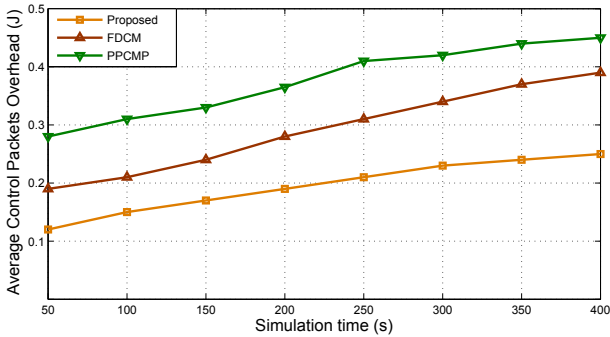
It is the average energy consumption due to transmission and reception of control packets. The control packets are used in neighbor discovery, cluster formation, route

Table 2: Simulation Parameters

Parameter Name	Value
Network area	1000 × 1000 meter ²
Number of sensor nodes	100
Data packet size	512 bytes
Control packet size	32 bytes
E_{elec}	50 nJ/bit
ϵ_{fs}	10 pJ/bit/m ²
ϵ_{mp}	0.0013 pJ/bit/m ⁴
d_0	87 meters
E_{proc}	5 nJ/bit
E_{low}	0.2 nJ/sec
Simulation time	500 sec
MAC protocol	TMAC

construction, maintenance process and so on. The control packet overload by the protocol is shown in Figure 2.

For multipath construction, branch aware flooding is used to flood the control packet over the network in PPCMP. This is the major cause which increases the control packet overhead, although it consumed less control overhead for cluster head selection. Further, the multipath construction takes place each time when the new node becomes the source node. This is an overhead to the protocol. In the FDCM, the control overhead is less than PPCMP but more than the proposed protocol. In the cluster formation phase the exchange of test request and reply for testing faulty node is an overhead. In multipath construction the control packet broadcasts in the increased range of $2R$. It consumes more energy. Whereas the proposed scheme neither uses flooding nor involves the whole network to select the cluster head and multipath. The sink selects the cluster heads and the paths between the cluster heads and the sink. So the control overhead is very less as compare to PPCMP and FDCM.

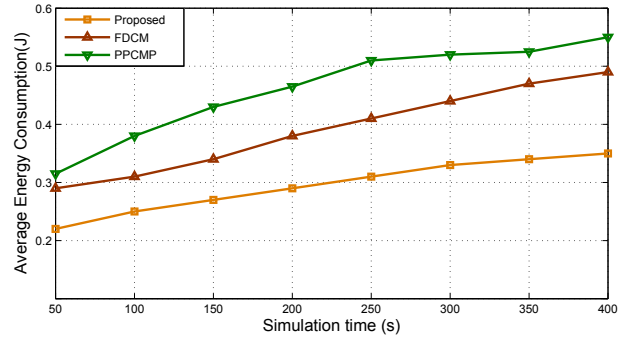
**Figure 2: Control Packet Overhead**

4.2.2 Average Energy Consumption

This is the average amount of energy consumed at each node due to transmission and reception of control and data packets. The performance result is illustrated in Figure 3.

Although PPCMP uses the optimal path to transmit the data. Due to increased control packet overhead, the average energy consumption is more. In FDCM, the control packet overhead is more, and the aggregated data transmitted through the cluster heads with the range of $2R$. It increases the overall energy consumption as it takes twice the power to transmit the data as compared to the other protocol. Whereas in the proposed protocol, the control overhead

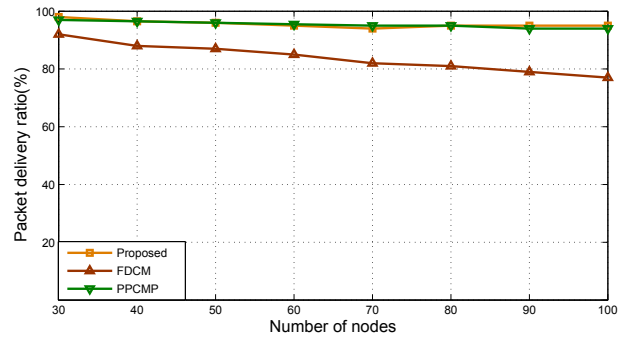
is less, and the sink selected the optimal path for the data transmission. The average energy consumption is less than the above two protocols.

**Figure 3: Average Energy Consumption**

4.2.3 Packet Delivery Ratio (Throughput)

It is the ratio of successfully received data packets at the sink and the total number of data packets sent by the source. This parameter also shows the data transmission reliability. The packet delivery ratio of each protocol is depicted in Figure 4.

PPCMP uses the node disjoint multipath routing, which increases the reliability hence throughput also increases. The FDCM does not take any precaution if the path fails between the source and the sink. In fact, it chooses the neighbor cluster head from the available list without knowing the current residual energy. The reliability of the protocol gets decreased, hence the throughput also decreases. In the proposed scheme, path is selected by the sink. The sink monitors the residual energy of each node in the path. When it finds any node's residual energy below the threshold, it selects another path for data transmission. Hence, the data loss is negligible.

**Figure 4: Packet Delivery Ratio**

4.2.4 End-to-End Latency

It is calculated as the average time between generation of the data packet from the source and the successful reception at the sink.

In the PPCMP, each candidate cluster head node has to wait for a period of time to become the cluster head. In addition to that, for each new event when the source node changes, the protocol has to create the multipath for the

fresh source node. It increases the overall delay. In the FDCM, due to available neighbor cluster head list the end-to-end delay is less. In a situation where the network has to choose a new cluster head, the selection process starts from the beginning, which increases the delay. In the proposed scheme, the alternative paths are available. Hence, the end-to-end delay is less. The results in Figure 5 shows that the proposed scheme is faster than the PPCMP and marginally better than the FDCM.

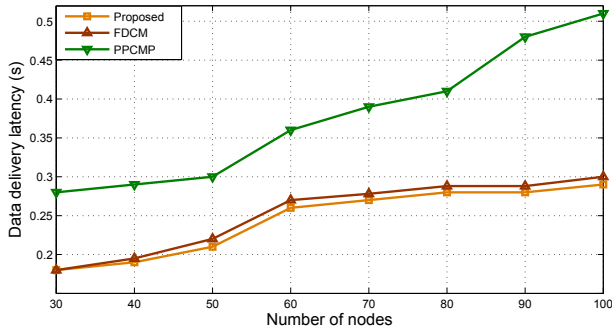


Figure 5: End to End Latency

4.2.5 Network Lifetime

It is the time period of the network when the first node dies. In the network, the control packets are exchanged for neighbor maintenance, cluster heads selection, cluster formation, route discovery, establishment and maintenance. It reflects the routing overhead and directly affects the lifetime of the network.

It is clearly shown in Figure 6 that, the network lifetime of the proposed scheme is greater than the FDCM and PPCMP. The reason behind this is, it consumes few control packets and balances the load among the sensor nodes.

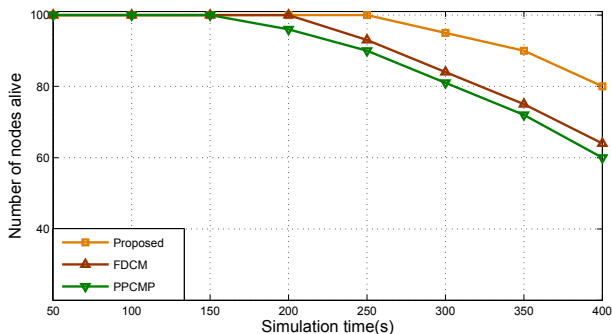


Figure 6: Network Lifetime

5. CONCLUSION

This paper proposed an energy efficient routing scheme using the clustering and multipath technique. The work loads of the sensor nodes are alleviated by giving more responsibility to the base station. The multipath gives more reliability to the network, and it increases the throughput and decreases latency. In addition to that, cluster based data collection reduces the traffic and energy consumption and also increases the lifetime of the network. The simula-

tion results show that the proposed protocol outperformed the existing protocols FDCM and PPCMP.

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